

PBAS Proforma for calculating API SCORE -Category III-Research & Academic Contributions

(Note: Please submit one set for this criteria proforma for the whole period in consideration for CAS. Please read the instructions given at the end of Proforma for calculating API Score claimed in each category)

Name and Designation of the Candidate:

Post/Grade to which applied for:

Period under consideration: From _____ To _____

A. Published Papers in Journals

S.N.	Full Journal paper. (In format given below with author names in the order published. Eg: Amjit K. M & Chateerejee B, <i>Optimisation of Cellular layout using Simulated Annealing</i> , Int. J of Production Research, Vol 26 No.4, Dec 2009, pp 25-34)	ISSN/ ISBN No.	Whether peer reviewed.	Impact factor, if any	API Score claimed	API score awarded
1						
2						
3						

Total API score Claimed:

Total API score awarded:

B. (i) Articles/Chapters published in Books

S.N.	Full Articles/Chapters in books. (In format given below with author names in the order published. Eg: Benson, R ,Crow P S & Stien R, <i>Benchmarking lessons in the process industries</i> , Chapt:Ed: May Amy, <i>Manufacturing Excellence</i> , 2 nd Edtion,1998 Haymarket Business Publications, London)	ISSN/ ISBN No.	Whether peer reviewed.	Publisher International /National /Regional	API Score claimed	API score awarded
1						
2						
3						

Total API score Claimed:

Total API score awarded:

B (ii) Full Papers published in Conference Proceedings

S.N.	Full Papers in Conference proceedings. . (In format given below with author names in the order published. Eg: Bumstead, J and Cannons, K, <i>From 4PL to managed supply chain operations</i> , Procd. Int. Conf. on Supply Chain, NTU, Singapore, May 12-15, 2004, pp 18-25)	ISSN/ ISBN No.	Details of Conference International /National /Regional	API Score claimed	API score awarded
1					
2					
3					

Total API score Claimed:

Total API score awarded:

B (iii) Books published as author or as editor

S.N.	Books Published. (Reference in the format given below with author names in the order published. Eg: Christopher, M and Peck, H (2003) <i>Marketing Logistics</i> , 2nd edition, Butterworth Heinemann, Oxford)	ISSN/ ISBN No.	International /National /Regional	Editor /Author	API Score claimed	API score awarded
1						
2						
3						

Total API score Claimed:

Total API score awarded:

C. (i & ii). Ongoing /Completed Research projects and consultancies

S. N.	Title	Agency	Period	Principal Investigator or Co-PI	Grant/ Amount in (Rs Lakhs)	API Score Claimed	API awarded	Score

Total API score Claimed:

Total API score awarded:

C. (iii & iv) Completed Research outcomes: quality and outcomes

S. N.	Title	Agency	Period	Principal Investigator or Co-PI	Report Accepted/ Patent/ Technology transfered	API Score Claimed	API awarded	Score

Total API score Claimed:

Total API score awarded:

D. Research Guidance

S.N.	Number Enrolled	Thesis Submitted	Degree awarded	API Score Claimed	API Score Awarded
M. Phil *					
Ph. D *					

***Note:** Attach List of Students with title of Thesis and year and names of Co-guides if any

Total API score Claimed:

Total API score awarded:

E. (i) Training courses, teaching-learning-evaluation technology, faculty development programmes attended.

S.N.	Programme	Duration	Organized by	API Score

Total API score Claimed:

Total API score awarded:

E. (ii) Papers presented in Conferences, Seminars, Workshops, Symposia*

S.N.	Full Papers Presented. (In format given below with author names in the order published. Eg: Arun K P & Vidya CR, <i>Co-ordinating two level supply chains</i> , Int. Conf. on Supply Chain, IIT-KGP, Kharagpur, Dec 16-18, 2011)	ISSN/ ISBN No.	Details of Conference International /National /Regional	API Score claimed	API score awarded
1					
2					

***Note:** Please see that for any conference paper published claim is not made for presentation also.

Total API score Claimed:

Total API score awarded:

E (iii) Invited Lectures/ Refresher/Orientation course class and Chairmanships at National or International Conference/Seminars

S.N.	Details of event. (Eg: Refresher Course in Commerce by ASC, Kerala Univ June 5, 2010)	Class/ Talk or Chair	International /National /Regional	API Score claimed	API score awarded
1					
2					
3					
4					
5					

Total API score Claimed:

Total API score awarded:

OTHER RELEVANT INFORMATION

Please give details of any other significant contributions, awards etc. not mentioned earlier in this proforma.

S.N.	Details (Mention Year, Value etc., where relevant)

Declaration

I certify that the information provided is correct as per records available with the University and/or documents enclosed along with this filled in Proforma.

Place

Date

Name and Signature of the

Candidate

FOR USE BY
Director of School/Head of the Department

Specific Remarks if any:

VERIFIED AND COUNTERSIGNED

Place

Director of School/Head of the Department

Date:

(Office Stamp)

Instructions for Filling up Category –III of the PBAS Proforma

NOTE: Claim of points to be made only in one place for each activity.

CATEGORY –III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Brief Explanation: Based on the teacher's self-assessment, API Scores are proposed for research and academic contributions. The minimum API score required by teachers from this category is different for different levels of promotion and between university and colleges. The self-assessment score will be based on verifiable criteria and will be finalized by the screening/selection committee.

S.N.	APIs	Engineering/Agriculture/ Veterinary Science/Sciences/Medical Sciences	Faculties of Languages Arts/Humanities/Social Sciences/Library/ Physical education/Management	Max. points for University and college teacher position
III(A)	Research Papers (Published in Journals)	Refereed Journals*	Refereed Journals*	15 / Publication
		Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers	Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers	10 / Publication
		Conference proceedings as full papers, etc. (Abstracts not to be included)	Conference proceedings as full papers, etc. (Abstracts not to be included)	10 / Publication
III (B)	Research Publications (books, chapters in books, other than refereed journal articles)	Text or Reference Books Published by International Publishers with an established peer review system.	Text or Reference Books Published by International Publishers with an established peer review system.	50/book; 10 /chapter in an edited book
		Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers	Subjects Books by National level publishers/State and Central Govt. Publications with ISBN/ISSN numbers	25/Book, and 5 / chapter in edited book
		Subject Books by Other local publishers with ISBN/ISSN numbers	Subject Books by Other local publishers with ISBN/ISSN numbers	15 /Book, and 3 / chapter in edited book
		Chapters contributed to edited knowledge based volumes published by International Publisher	Chapters contributed to edited knowledge based volumes published by International Publisher	10 / Chapter
		Chapters in knowledge based Volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories	Chapters in knowledge based Volumes by Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories	5 / Chapter
III C	Research Projects			
III C (i)	Sponsored Projects carried out/	a) Major Projects amount mobilized with grants above 30.0 lakhs	Major Projects amount mobilized with grants above 5.0 lakhs	20 / each Project

	ongoing	b) Major Projects amount mobilized with grants above 5.0 lakhs up to 30.0 lakhs	Major Projects amount mobilized with minimum of Rs.3.00 lakhs up to Rs.5.00 lakhs	15 / each Project
		c) Minor Projects (Amount mobilized with grants above Rs. 50,000 up to Rs. 5 lakh)	Minor Projects (Amount mobilized with grants above Rs. 25,000 up to Rs. 3 lakh)	10 / each Project
III C (ii)	Consultancy Projects carried out / ongoing	Amount mobilized with minimum of Rs.10.00 lakh	Amount mobilized with minimum of Rs.2.00 lakhs	10 points per every Rs.10.0 lakhs and Rs.2.0 lakhs, respectively

III C (iii)	Completed projects : Quality Evaluation	Completed Project Report (Acceptance from funding agency)	Completed project report (Accepted by funding agency)	20 / each major project and 10 / each minor project not covered in anywhere else
III C (iv)	Projects Outcome/Outputs	Patent/Technology transfer/ Product Process	Major Policy document of Govt. Bodies at Central and State level	25/ each national level output or patent. 40/each for international level output
III D	Research Guidance			
III D (i)	M.Phil	Degree Awarded only	Degree Awarded only	3 Points for each candidate
III D (ii)	Ph.D	Degree Awarded only	Degree Awarded only	10 Points for each candidate
		Thesis submitted	Thesis submitted	7 Points for each candidate
III E	TRAINING COURSES AND CONFERENCE / SEMINAR / WORKSHOP PAPERS Attended			
III E(i)	Refresher courses, Methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft skills development Programmes, Faculty Development Programmes (Max: 30 points)	(a) Not less than two weeks duration	(a) Not less than two weeks duration	20 points each
		(b) One week duration	(b) One week duration	10 points each
III E (ii)	Papers in Conference/ Seminars/ workshops etc. **	Participation and Presentation of research papers (oral/poster) in	Participation and Presentation of research papers (oral/poster) in	
		a) International Conference	a) International Conference	10 Points each
		b) National	b) National	7.5 Points each
		c) Regional/State level	c) Regional/State level	5 Points each

		d) Local-University/College level	d) Local-University/College level	3 Points each
III E (iii)	Invited lectures or presentations for conferences/ symposia or talks in refresher courses	(a) International	(a) International	10 Points each
		(b) National Level	(b) National Level	5 Points each

Note: **1.** For Publications and paper presentations involving multiple authors, API calculations would be as given: From 1 to 3 Authors: 100% credit for each author. 4 to 6 Authors: 60% credit for each author. 7 and Above authors : 40% credit for each author. (*No specific weightage for the order of authors in the publications/ patents*). Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) indexed journals – by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor above 5 by 25 points.

2. For Thesis Guidance, Projects and Consultancy involving multiple persons in Investigator role, API calculations would be as given: *Principal Investigator or Guide gets 70% of the points, Co-investigators or co-guides share the remaining 30% of the points.*

3. If a paper presented in Conference/Seminar is published in the form of Proceedings, the points would accrue for the publication (III (A)) and not under presentation (III(E)(ii)).

**SUMMARY OF
PART B: ACADEMIC PERFORMANCE INDICATORS
(For Use by Assessment/Selection Committee)**

Department/School: _____

Name and Designation of Applicant

: _____

Application for promotion from: _____

Period of Assessment for promotion: _____	From _____	to _____
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Category I: Teaching, Learning and Evaluation related activities

Max score allowed:125

Min score required: 75

SI No	Period/Academic year	Score Claimed	Score Awarded
1			
2			
3			
4			
5			
6			
7			
8			
Total Score & Average score in ()			

Category II: Co-curricular, Extension, Professional development related activities

Max score allowed:125

Min score required: 75

SI No	Period/Academic year	Score Claimed	Score Awarded
1			
2			
3			
4			
5			
6			
7			
8			
Total Score & Average score in ()			

Category III: Research and Academic contributions

For the period _____ to _____ Min Score required:

SI No	Nature of Activity	Score Claimed	Score Awarded
A	Publications in Journals		
B (i)	Publications in Books		
B (ii)	Publications in Conference Proc.		
B (iii)	Books Published		
C i & ii	Research & Consultancy-Ongoing		
C iii & iv	Research & Consultancy-Completed		
D	Research Guidance		

E i	Training courses, FDP -attended		
E ii	Conf. Papers presented only		
E iii	Invited talks/ session chair ay Conf.		
	Total Score		

Name and Signatures of Members

Place:

Date: